



Freedom of Information Act Report Annual FOIA Report - 2016



GULF COAST ECOSYSTEM RESTORATION COUNCIL

Freedom of Information Act Annual Report

October 1, 2015 to September 30, 2016

I. BASIC INFORMATION REGARDING REPORT

1. Name, title, address and telephone number of person(s) to be contacted with questions about the report.

Frederick Sutter
Deputy Executive Director
500 Poydras Street
Suite 1117
New Orleans, LA 70130
504-304-1473

William Spoon Records Management Officer 500 Poydras Street Suite 1117 New Orleans, LA 70130 504-304-1473

2. Electronic address for the report on the Gulf Coast Ecosystem Restoration Council (GCERC) Website:

https://www.restorethegulf.gov/resources/council-documents-foia-library

3. How to obtain a copy of the report in paper form.

Contact William Spoon at the above address and/or telephone number.

II. MAKING A FOIA REQUEST

For basic information about how to make a FOIA request, visit our website at: https://www.restorethegulf.gov/resources/council-documents-foia-library

All FOIA requests to the Gulf Coast Ecosystem Restoration Council (GCERC) should be made through either electronically through <u>foiarequest@restorethegulf.gov</u> or directed to Mr. William Spoon at the email or address given above.

The Council is required to provide records requested in writing by any person or organization, unless the records are covered by one of nine exemptions or three special law enforcement record exclusions.

A FOIA request can be made for any agency record that is not publicly available. Describe as best as possible the records you are requesting. In your description include information such as the date and place the records were created, the file descriptions, subject matter, persons involved, and other pertinent details that will help identify the records. Please be aware that the FOIA does not require agencies to answer questions, or to create records to respond to a request.

May 22, 2015 published in the Federal Register (adding part 1850 to 40 CFR chapter VIII) a rule that sets forth the Gulf Coast Ecosystem Restoration Council's (Council) regulations regarding FOIA, Privacy Act (PA), and declassification and public availability of national security information. The FOIA and PA require each agency to promulgate regulations implementing the provisions of those laws and this Final Rule fulfills that mandate, facilitating public access to Council records.

III. ACRONYMS, DEFINITIONS, AND EXCEPTIONS

1. Agency-specific acronyms or other terms

GCERC is the Gulf Coast Ecosystem Restoration Council

- 2. Definitions of terms used in this Report:
 - a. <u>Administrative Appeal</u> a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
 - b. <u>Average Number</u> the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
 - c. <u>Backlog</u> the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
 - d. <u>Component</u> for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
 - e. <u>Consultation</u> the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
 - f. <u>Exemption 3 Statute</u> a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
 - g. FOIA Request a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e. a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by individuals seeking records concerning themselves (i.e., "first-party" requests) when those requestors are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to

utilize the FOIA in responding to the requester is included in this report. Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

- Full Grant an agency decision to disclose all records in full in response to a FOIA request.
- Full Denial an agency decision not to release any records in response to a FOIArequest because the records are exempt in their entireties under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- j. <u>Median Number</u> the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- k. <u>Multi-Track Processing</u> a system in which simple requests requiring relative minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis
 - i. Expedited Processing an agency will process a FOIA request on an expedited basis when a requester satisfied the requirement for expedited processing asset forth in the statute and in agency regulations.
 - ii. Simple Request a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.
 - iii. Complex Request a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.
- I. <u>Partial Grant/Partial Denial</u> in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
- m. <u>Pending Request or Pending Administrative Appeal</u> a request or administrative appeal for which an agency has not taken final action in all respects.
- n. <u>Perfected Request</u> a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
- o. <u>Processed Request or Processed Administrative Appeal</u> a request or administrative appeal for which an agency has taken final action in all respects.
- p. Range in Number of Days the lowest and highest number of days to process requests or administrative appeals.
- q. <u>Time Limits</u> the time period in the statue for an agency to respond to a FOIA request

(ordinarily twenty working days from receipt of a perfected FOIArequest).

3. Description of the nine FOIA exemptions:

- a. Exemption 1: classified national defense and foreign relationsinformation.
- b. Exemption 2: internal agency rules and practices.
- c. Exemption 3: information that is prohibited from disclosure by another federal law.
- d. Exemption 4: trade secrets and other confidential business information.
- e. Exemption 5: inter-agency or intra-agency communications that are protected by legal privileges.
- f. Exemption 6: information involving matters of personal privacy.
- g. Exemption 7: records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could be reasonably be expected to endanger the life or physical safety of any individual.
- h. Exemption 8: information relating to the supervision offinancial institutions.
- i. Exemption 9: geological information on wells.

III. AGENCY COMPONENT ABBREVIATIONS

Component Abbreviation	Component Name
GCERC	Gulf Coast Ecosystem Restoration Council

IV. EXEMPTION 3 STATUTES

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
N/A			GCERC	N/A	
					N/A

V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
GCERC	0	3	3	0
AGENCY OVERALL	0	3	3	0

V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

		Number			Number of Full Denials Based on Reasons Other than Exemptions									
Agency / Component	Number of Full Grants	of Partial Grants /	Number of Full Denials Based on Exemptions	No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	TOTAL	
GCERC	3	0	0	0	0	0	0	0	0	1	0	0	3	
													0	
AGENCY OVERALL	3	0	0	0	0	0	0	0	0	1	0	0	3	

V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
GCERC	N/A	0	0
AGENCY OVERALL			0

V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
GCERC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	0	0	0	0	0	0	0	0	0	0

VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
GCERC	0	0	0	0
				0
AGENCY OVERALL	0	0	0	0

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
GCERC	0	0	0	0	0
					0
AGENCY OVERALL	0	0	0	0	0

VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
GCERC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	0	0	0	0	0	0	0	0	0	0

VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
GCERC	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	0	0	0	0	0	0	0

VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
GCERC	N/A		0
AGENCY OVERALL			0

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
GCERC	N/A			
AGENCY OVERALL	N/A			

VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
	Date of Appeal										
	Number of Days Pending										
AGENCY	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OVERALL	Number of Days Pending	0	0	0	0	0	0	0	0	0	0

VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

		SIM	PLE			COM	PLEX		EXF	PEDITED F	PROCESS	ING
Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
GCERC	26	26	26	26	N/A				N/A			
AGENCY OVERALL	26	26	26	26	N/A				N/A			

VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

		SIM	PLE			COM	PLEX		EXF	PEDITED F	PROCESS	ING
Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
GCERC	26	26	26	26	N/A	0. 20,0	J. 24,5	0. 20,0	N/A	o. 24,0	J. 24,5	o. z aye
AGENCY OVERALL	26	26	26	26	N/A				N/A			

VII.C PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAYINCREMENTS

Agency /	<1-20	21-40	41-60	61-80	81-100	101-120	121-140	141-160	161-180	181-200	201-300	301-400	401+	TOTAL
Component	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	IOTAL
GCERC	0	3	0	0	0	0	0	0	0	0	0	0	0	3
														0
AGENCY OVERALL	0	3	0	0	0	0	0	0	0	0	0	0	0	3

VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency /	<1-20	21-40	41-60	61-80	81-100	101-120	121-140	141-160	161-180	181-200	201-300	301-400	401+	TOTAL
Component	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	IOIAL
GCERC														N/A
														-
AGENCY														_
71021101														N/A
OVERALL														,

VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAYINCREMENTS

Agency /	<1-20	21-40	41-60	61-80	81-100	101-120	121-140	141-160	161-180	181-200	201-300	301-400	401+	TOTAL
Component	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	TOTAL
GCERC														N/A
AGENCY														N/A
OVERALL														IN/A

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

		SIMPLE			COMPLEX		EXPED	ITED PROCE	ESSING
Agency / Component	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
GCERC	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	0	0	0	0	0

VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
GCERC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
AGENCY	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OVERALL	Number of Days Pending	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted		Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
GCERC	0	0	N/A	N/A	N/A
AGENCY OVERALL	0	0	N/A	N/A	N/A

VIII.B. REQUESTS FOR FEE WAIVER

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
GCERC	0	0	N/A	N/A
AGENCY OVERALL	0	0	N/A	N/A

IX. FOIA PERSONNEL AND COSTS

		PERSONNEL			COSTS	
Agency / Component	Number of "Full- Time FOIA Employees"	Number of "Equivalent Full- Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
GCERC	0	0.022	0.022	\$3,187.00	\$0.00	\$3,187.00
			0			\$0.00
AGENCY OVERALL	0	0.022	0.0.36	\$3,187.00	\$0.00	\$3,187.00

X. FEES COLLECTED FOR PROCESSING REQUESTS

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
GCERC	\$0	0%
AGENCY OVERALL	\$0	0%

XIA. NUMBER OF TIMES SUBSECTION (C) USED

Agency / Component	Number of Times Subsection (C) Used
GCERC	0
AGENCY OVERALL	0

XI.B. NUMBER OF SUBSECTION (A)(2) POSTINGS

Agency / Component	Number of (a)(2) Records Posted by the FOIA Office	Number of (a)(2) Records Posted by Program Offices	
GCERC	0	0	
AGENCY OVERALL	0	0	

XII.A. BACKLOGS OF FOIA REQUESTS AND ADMINISTRATIVE APPEALS

	Number of Backlogged	Number of Backlogged		
Agency / Component	Requests as of End of	Appeals as of End of		
	Fiscal Year	Fiscal Year		
GCERC	0	0		
AGENCY OVERALL	0	0		

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

	Number of		Number of	Number of
	Consultations Received	Number of	Consultations Received	Consultations Received
Agency / Component	from Other Agencies	Consultations Received	from Other Agencies	from Other Agencies
Agency / Component	that were Pending at the	from Other Agencies	that were Processed by	that were <u>Pending</u> at the
	Agency as of Start	During the Fiscal Year	the Agency During the	Agency as of End
	of the Fiscal Year		Fiscal Year	of the Fiscal Year
GCERC	0	0	0	0
				0
AGENCY OVERALL	0	0	0	0

XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY

Agency / Component		10th Oldest Consultation	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation
GCERC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
AGENCY	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OVERALL	Number of Days	0	0	0	0	0	0	0	0	0	0

XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

	NUMBER OF REQU	JESTS <u>RECEIVED</u>	NUMBER OF REQUESTS PROCESSED		
Agency / Component	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report	
GCERC	N/A	3	N/A	3	
AGENCY OVERALL	N/A	3	N/A	3	

XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

	Number of Backlogged	Number of Backlogged
A	Requests as of End of the	Requests as of End of the
Agency / Component	Fiscal Year from Previous	Fiscal Year from Current
	Annual Report	Annual Report
GCERC	N/A	0
GCENC	14,71	
AGENCY OVERALL	N/A	0

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

	NUMBER OF APP	EALS <u>RECEIVED</u>	NUMBER OF APPEALS PROCESSED		
Agency / Component	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report	
GCERC	N/A	0	N/A	0	
AGENCY OVERALL	N/A	0	N/A	0	

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

	Number of Backlogged	Number of Backlogged		
Aganay / Campanant	Appeals as of End of the	Appeals as of End of the		
Agency / Component	Fiscal Year from Previous	Fiscal Year from Current		
	Annual Report	Annual Report		
GCERC	0	0		
	<u> </u>			
AGENCY OVERALL	0	0		